

11/29/00

JCS60 U.S. PTO



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1083.1078/JDH

First Named Inventor or Application Identifier:

Noriaki YADA

Express Mail Label No.

JCS60 U.S. PTO

09/125097



11/29/00

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract ..... [ Total Pages: 35 ]
3. ☒ Drawing(s) (35 USC 113) ..... [ Total Sheets: 11 ]
4. ☒ Oath or Declaration ..... [ Total Pages: 3 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 [2 ] Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ Small Entity Statement(s) [ ] Statement filed in prior application, status still proper and desired.
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**[ ] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No:        /       **18. CORRESPONDENCE ADDRESS**

21171

PATENT TRADEMARK OFFICE

Staas &amp; Halsey . .

12/04/2000 6DU8NG 00000037-09725097

01 FC:101  
02 FC:102710.00 DP  
80.00 DP

# NEW APPLICATION FEE TRANSMITTAL

Attorney Docket No. 1083.1078/JDH

Application Number To Be Assigned

Filing Date November 28, 2000

AMOUNT ENCLOSED \$830.00

First Named Inventor Noriaki YADA

## FEE CALCULATION (fees effective 10/01/00)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	19 - 20 =	0	X \$ 18.00 =	\$ 0.00
	INDEPENDENT CLAIMS	4 - 3 =	1	X \$ 80.00 =	80.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$270.00 =	0.00
	<b>BASIC FILING FEE</b>				710.00
	Total of above Calculations =				\$ 790.00
	Surcharge for late filing fee, Statement or Power of Attorney (\$130.00)				+
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28).				-
	<b>TOTAL FILING FEE =</b>				\$ 790.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				+
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h)(1))				40.00
	<b>TOTAL FEES DUE =</b>				\$ 830.00

## METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No., below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time.

## GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.

19-3935

Deposit Account Name

STAAS &amp; HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS &amp; HALSEY LLP

Typed Name

James D. Halsey Jr.

Reg. No.

22,729

Signature

Date

November 28, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
)  
Noriaki YADA )  
) Group Art Unit: Unassigned  
Serial No.: To Be Assigned )  
) Examiner: Unassigned  
Filed: November 28, 2000 )  
)  
For: ASSET MANAGEMENT SYSTEM AND )  
ASSET MANAGEMENT METHOD )



**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. §1.55**

*Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231*

*Sir:*

In accordance with the provisions of 37 C.F.R. §1.55, the applicant submits herewith a certified copy of the following foreign application:

Japanese Patent Application No.2000-107195  
Filed: April 7, 2000

It is respectfully requested that the applicants be given the benefit of the foreign filing date as evidenced by the certified papers attached hereto, in accordance with the requirements of 35 U.S.C. §119.

Respectfully submitted,  
STAAS & HALSEY LLP

Date: November 28, 2000

By: \_\_\_\_\_

James D. Halsey, Jr.  
Registration No. 22,729

700 Eleventh Street, N.W., Suite 500  
Washington, D.C. 20001  
(202) 434-1500

PATENT OFFICE  
JAPANESE GOVERNMENT

This is to certify that the annexed is a true copy of the following  
application as filed with this Office.

Date of Application:	April 7, 2000
Application Number:	Patent Application No. 2000-107195
Assignee (s):	FUJITSU LIMITED

September 22, 2000  
Commissioner, Patent Office  
Kozo OIKAWA

Patent application 2000-107195

[Name of Document]	Patent Application	
[Reference Number]	9990395	
[Date of Filing]	April 7, 2000	
[Destination]	Commissioner, Patent Office	
[International Patent Classification]	G06F 17/00	
[Title of Invention]	ASSET MANAGEMENT SYSTEM	
[Number of Claimed Inventions]	5	
[Inventor]		
[Address]	c/o FUJITSU MINAMI-KYUSHU SYSTEMS ENGINEERING LIMITED 2081-27, Tabaru, Mashiki-machi, Kamimashiki-gun, Kumamoto	
[Name]	Noriaki YADA	
[Assignee]		
[Identification Number]	000005223	
[Name]	FUJITSU LIMITED	
[Attorney]		
[Identification Number]	100078868	
[Patent Attorney]		
[Name]	Takao KOHNO	
[Telephone Number]	06-6944-4141	
[Indication of Official Fee]		
[Register Number]	001889	
[Amount]	¥21,000	
[List of Annexes]		
[Name of Article]	Specification	1
[Name of Article]	Drawings	1
[Name of Article]	Abstract	1
[Number of General Authorization]	9705356	
[Proof]	Needed	